

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATERI AMINTO INFOE DIVISION

2006 MAY -8 PM 4: 30

US PATENT & TRADEMARK OFFICE

Robert H. TILLOTSON Art Unit: 2875

Appln. No. 10/517,662 Examiner: To Be Assigned

Filed: August 25, 2005

Atty. Docket No. 31229-211727

For: LIGHT FITTING Customer No.

26694
PATENT TRADEMARK OFFICE

#### **REQUEST FOR REFUND**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Confirmation No. 5612

**Attention: MAIL STOP REFUNDS** 

Sir:

This is a request for a refund, in the amount of \$65.00, which should be applied to Deposit Account No. 22-0261.

On December 13, 2004, Applicant filed a new U.S. National Stage Application, authorizing payment of filing and search fees of \$500 as well as the surcharge fee of \$65 for a late declaration, to be charged to our Deposit-Account No. 22-0261. These fees were deducted from our Deposit Account No. 22-0261 on December 21, 2005. A Notification of Missing Requirements was subsequently mailed on May 25, 2005, requiring the submission of a declaration. The declaration was filed on August 25, 2005, along with a \$60 fee for a one-month extension of time. On August

Applicant: Robert H. TILLOTSON

Appln. No. 10/517,662

31, 2005, the Office charged our Deposit Account No. 22-0261 for the extension fee, along with a second surcharge fee of \$65. This second charge of \$65 is erroneous.

Attached with this filing is a copy of our Deposit Account Statement, showing the two deductions of \$65 on December 21, 2004 and on August 31, 2005. It is respectfully requested that \$65 be credited to our Deposit Account No. 22-0261, and acknowledgement of such credit be issued by the PTO.

Respectfully submitted,

Date: May 5, 2006

Michael A. Sartori, Ph.D. Registration No. 41,289

Kavita B. Lepping

Registration No. 54,262

VENABLE LLP P.O. Box 34385

Washington, D.C. 20043-9998

Telephone: (202) 344-4000 Telefax : (202) 344-8300

MAS:KBL/SJB #743944

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	12/21 3	394	10517842	32128-211698	1633	\$200.00	\$53,836.06
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### United States Patent and Trademark Office

ITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS Abaumbia, Viginia 22313-1450 www.uspio.gov

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

10/517,662

Robert H TILLOTSON

31229-211727

INTERNATIONAL APPLICATION NO. PCT/GB03/02537

LA. FILING DATE

PRIORITY DATE

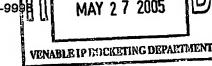
06/12/2003

06/13/2002

**CONFIRMATION NO. 5612 371 FORMALITIES LETTER** 

\*OC000000016044830\*

26694 VENABLE LLP P.O. BOX 34385 WASHINGTON, DC 20045-99



(C)

Date Mailed: 05/25/2005

#### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 12/13/2004
- Copy of the International Search Report filed on 12/13/2004
- Copy of IPE Report filed on 12/13/2004
- Copy of Annexes to the IPER filed on 12/13/2004
- Information Disclosure Statements filed on 12/13/2004
- U.S. Basic National Fees filed on 12/13/2004
- Priority Documents filed on 12/13/2004

DATES DOCKETED

DC D DKTEDBY

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

 Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION. WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

FRANCINE YOUNG

Telephone: (703) 308-9140 EXT 215

#### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	International application no.	ATTY, DOCKET NO.
10/517,662	PCT/GB03/02537	31229-211727

FORM PCT/DO/EO/905 (371 Formalities Notice)

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	979	78703015	57793-222699	7001	\$325.00	\$73,637.06
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		2 78703287	32140-222273	7001	\$975.00	\$71,362.06
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08/3		78286737		6402	\$900.00	\$69,652.06
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08/31		11212882	31794-222327 RK	1111	\$500.00	\$68,852.06
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08/31	147	09809036	37122-167615	2252	\$225.00	\$59,407.06
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				8522	\$25.00	\$47,206.06
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12/23		76624476	41713-211935	6001	\$335.00	\$46,511.06
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12/23		09658948	32305-166152	1252	\$450.00	\$88,611.06
12/23		76624549	21188-106718	6001	\$335.00	\$88,276.06
12/23		29219571	29953-192667	1012	\$200.00	\$88,076.06
12/23		29219571	29953-192667	1112	\$100.00	\$87,976.06
12/23	/1	29219571	29953-192667	1312	\$130.00	\$87,846.06

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SUBMITTED BY	Complete (if epplicable)				
Namo (Print/Typa)	Michael A. Sartori, Ph.D.	Reg No. Attorney/Agent)	41,289	Telephone	202-344-4000
Signature	Milatt Jakey	Date	08/25/05	L	<u> </u>

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PTO/SB/17 (12-04v2)
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Under the Paperwork Reduction Act of 1995, no person are required to respond to a collection of information unless it displays a valid OMB control number Complete if Known Effective on 12/08/2004. les pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). **Application Number** 10/517,662 TRANSMITTAL August 25, 2005 Filing Date First Named Inventor Robert H. TILLOTSON MAY 0 5 2006 For FY 2006 Examiner Name To Be Assigned Applicant claims small entity status. See 37 CFR 1.27 2875 Art Unit TOTAL AMOUNT OF PAYMENT 31229-211727 Attorney Docket No. METHOD OF PAYMENT (check all that apply) Credit Card Other (please Check Money Order X Deposit Account Deposit Account Number: 22-0261 Deposit Account Name: Venable LLP For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) X Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee Charge any additional fee(s) or underpayment of X Credit any overpayments fee(s) under 37 CFR 1.16 and 1.17 FEE CALCULATION (All the fees below are due upon filing or may be subject to a surcharge.) 1. BASIC FILING, SEARCH, AND EXAMINATION FEES **FILING FEES SEARCH FEES EXAMINATION FEES** Small Entity **Small Entity Small Entity** Application Type Fee (\$) Fees Paid (\$) Fee (\$) Fee (\$) Fee (\$) Fee (\$) Fee (\$) Utility 300 150 500 250 200 100 Design 200 100 100 50 130 65 Plant 200 100 300 150 160 80 Reissue 300 150 500 250 600 300 **Provisional** 200 100 0 0 0 2. EXCESS CLAIM FEES **Small Entity** Fee Description Fee (\$) Fee (\$) Each claim over 20 (including Reissues) 50 25 Each independent claim over 3 (including Reissues) 200 100 Multiple dependent claims 360 180 **Total Claims** Extra Claims Fee (\$) **Multiple Dependent Claims** - 20 = Fee (\$) Fee Paid (\$) HP = highest number of total claims paid for, if greater than 20. Indep. Claims Extra Claims Fee (\$) HP = highest number of total claims paid for, if greater than 3. 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Extra Sheets Number of each additional 50 or fraction thereof Fee Paid (\$) Fee (\$) - 100 = (round up to a whole number) x 4. OTHER FEE(S) Fees Paid (\$) Non-English Specification, \$130 fee (no small entity discount) Other (e.g., late filing surcharge): SUBMITTED BY Registration No. Signature 41,289 (202) 344-4000 Telephone Name (Print/Type) Michael A. Sartori, Ph.D. Date May 5, 2006 #748973



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UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

January 26, 2007

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